

Tiered Permitting Checklist Summary of Violations

This is an inspection element checklist of common types of violations of Federal, State, or local laws and regulations. For specific details about your inspection, refer to your Notice to Comply (NTC).

VIOLATION CODE	AUTHORITY	DESCRIPTION
All Tiers		
Observations		
Q459	22 CCR 15 66265.32	This facility has the required equipment necessary for the hazards that are posed by the HW handled
Q461	22 CCR 15 66265.196	Leaks and/or spills from the HW tank system are managed immediately
Q462	22 CCR 15 66265.194	HW tank systems are operated properly
Q463	22 CCR 15 66265.17(b), 66265.177	Incompatible wastes are managed properly
File Review		
Q411 / Q474 / Q533	HSC 25201(a)	Facility has a valid Permit to Operate from the CUPA
Q467 / Q527 / Q592	HSC 6.5 25201(a)	A permit to treat HW has been obtained
Q475 / Q534 / Q601	22 CCR 15 66265.77(a), 66565.56(j)	A written incident report for release, fire and/or explosion was submitted
Q600	HSC 6.11 25404.1.1	Facility has a valid Permit to Operate from the CUPA
Q772	22 CCR 66265.56(j)	Submitted a contingency plan activation report to CUPA
PBR/CA		
General Operating Requirements		
Q438 / Q563	22 CCR 15 66265.193	HW tank systems have secondary containment
Notification		
Q416 / Q539	22 CCR 45 67450.13(a)(2)	An Adjustment for the Closure Cost Estimate was completed by March 1 of each year
Q417 / Q540	22 CCR 45 67450.13(a)(1)	A Treatment Unit Written Estimate of Closure Costs was submitted
Q418 / Q541	22 CCR 45 67450.13(a)(3), 67450.13(a)(4)	Closure Cost Estimate was revised for a Closure Plan and/or cost increase change
On-Site Records		
Q413 / Q535	22CCR 67450.7, HSC 6.5 25200.14(b)(1)	Phase I Environmental Assessment Checklist submitted
Q424 / Q547	22 CCR 15 66265.192(k)	A complete HW tank system assessment was kept on file at the facility
Q425 / Q548	22 CCR 15 66265.191(a), 66265.191(b), 66265.191(c), 66265.191(e)	Existing HW tank system without secondary containment has been assessed and certified annually
Q427 / Q550	22 CCR 67450.3(c)(9)(F); 22 CCR 15 66265.192(h)	Treatment: Reassessment of the TP Tank systems P.E. Assessment
Q429 / Q552	22 CCR 67450.3(c)(9)(F); 22 CCR 15 66265.191(g)	Existing HW tank system assessment is complete
Q431 / Q553	22 CCR 15 66265.192(l), 66265.192(m)	Replacement of parts for the HW tank system have been approved by the CUPA
Q436 / Q561	22 CCR 15 66265.195(a)	HW tank system is inspected at least once each operating day

Q546	22 CCR 15 66265.195(b)	Cathodic protection systems are inspected
Observations		
Q466 / Q591	22 CCR 15 66265.111, 66265.114, 66265.197	The HW tank system was properly closed
Q587	22 CCR 15 66265.194	HW tank systems are operated properly
Q588	22 CCR 15 66265.17(b), 66265.177	Incompatible wastes are managed properly
Q589	22 CCR 15 66265.17(a)	Ignitable or reactive HW containers are protected from sources of ignition or reaction
CA		
General Operating Requirements		
Q445	HSC 6.5 25200.3(a)(1)(G), 25200.3(a)(11), 25200.3(b)(1)	Aqueous wastes containing hexavalent chromium are treated
Q446	HSC 6.5 25200.3(a)(1)(A), 25200.3(a)(1)(C), 25200.3(a)(1)(D), 25200.3(a)(1)(E), 25200.3(a)(1)(F),	Aqueous wastes containing listed inorganic constituents, except asbestos, are treated
Q447	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(2)	Aqueous wastes with listed organic constituents are treated
Q448	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(3)	Wastes which contain or are contaminated with listed constituents, except asbestos, are treated
Q449	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(4)	Alum, gypsum, lime, sulfur or phosphate sludges are treated
Q450	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(5)	Listed special wastes that meet the criteria and requirements of special wastes are treated
Q451	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(6)	Wastes, except asbestos, that have been classified by DTSC as special wastes are treated
Q452	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(7)	Soils contaminated with listed metals are treated
Q453	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(8)	Oil mixed with water and oil/water separation sludges are treated
Q454	HSC 6.5 25200.3(a)(11)(b)(1), 25200.3(a)(9)	Inorganic acid or alkaline wastes are treated
Q790	HSC 6.5 25200.3(a), 25200.3(b)	Conditionally Authorized: Hazardous Waste Treatment Process Combinations
Q793	HSC 6.5, 25200.3(c)(4); 66264.175	CA Treatment: Secondary Containment for Treatment in Containers
Notification		
Q414	HSC 6.5 25200.3(e)	Onsite HW Treatment Notification Information submitted
Q415	HSC 6.5 25200.3(k)	Amended Onsite HW Treatment Notification Information submitted
On-Site Records		
Q439	HSC 6.5 25200.3(c)(6), 25200.3(c)(7)	Records for conducting HW treatment are maintained onsite for five years
Q440	HSC 6.5 25200.3(c)(5), 25200.3(c)(7)	Records for scheduling and logging inspections are maintained onsite for five years
Q445	HSC 6.5 25200.3(a)(1)(G), 25200.3(a)(11), 25200.3(b)(1)	Aqueous wastes containing hexavalent chromium are treated
Observations		
Q468	HSC 6.5 25200.3(g)	The HW treatment unit was closed properly and the CUPA was notified
CE		
General Operating Requirements		
Q496	22 CCR 11 66261.124. HSC 6.5 25201.5(c)(3)	HW classified as special waste is treated to remove water from HW
Q497	22 CCR 11 66261.124. HSC 6.5 25201.5(c)(4)	HW classified as special waste is treated to remove components from HW
Q498	HSC 6.5 25201.5(c)(5)	HW from food or food byproducts is treated for corrosivity or toxicity
Q499	HSC 6.5 25201.5(c)(6)	HW is treated by gravity separation

Q500	HSC 6.5 25201.5(c)(7)	HW is treated by neutralization in a laboratory
Q501	HSC 6.5 25201.5(c)(8)	HW treatment is carried out in a QA/QC laboratory
Q502	HSC 6.5 25144.6	Reusable soiled textile materials are laundered at the facility
Q503	22 CCR 45 67450.11(a)(1); HSC 6.5 25201.5(a)	Aqueous wastes containing hexavalent chromium are treated
Q504	22 CCR 45 67450.11(a)(2); HSC 6.5 25201.5(a)	Aqueous wastes containing listed metals and/or fluoride salts are treated
Q505	22 CCR 45 67450.11(a)(3); HSC 6.5 25201.5(a)	Aqueous wastes with < 10% total organic carbon and < 1% total volatile organic compounds are treated
Q506	22 CCR 45 67450.11(a)(4); HSC 6.5 25201.5(a)	Wastes which contain or are contaminated with listed metals and/or fluoride salts are treated
Q507	22 CCR 45 67450.11(a)(5); HSC 6.5 25201.5(a)	Alum, gypsum, lime, sulfur or phosphate sludges are treated
Q508	22 CCR 45 67450.11(a)(8); HSC 6.5 25201.5(a)	Inorganic acid or alkaline wastes are treated
Q509	22 CCR 45 67450.11(a)(6); HSC 6.5 25201.5(a)	Listed special wastes that meet the criteria and requirements of special wastes are treated
Q510	22 CCR 45 67450.11(a)(7); HSC 6.5 25201.5(a), 25201.5(c)(4)	Wastes, except asbestos, that have been classified by DTSC as special wastes are treated
Q511	22 CCR 45 67450.11(a)(9); HSC 6.5 25201.5(a)	Soils contaminated with listed metals are treated
Q512	22 CCR 45 67450.11(a)(10); HSC 6.5 25201.5(a)	Various used oil wastes and oil/water separation sludges are treated
Q513	22 CCR 45 67450.11(a)(11); HSC 6.5 25201.5(c)(1), 25201.5(c)(2), 25201.5(a)	Empty contaminated containers are treated
Q514	22 CCR 45 67450.11(a)(12); HSC 6.5 2501.5(c)(1), 25201.5(a)	Multi-component resins are treated
Q791	22 CCR 45 67450.11(a); HSC 6.5 25201.5(a), 25201.5(c)	Conditionally Exempt: Hazardous Waste Treatment Process Combinations
Notification		
Q476	HSC 6.5 25201.5(d)(7)	Onsite HW Treatment Notification Information submitted
Q477	HSC 6.5 25201.5(i)	Amended Onsite HW Treatment Notification Information submitted
On-Site Records		
Q494	HSC 6.5 25201.5(d)(3), 25201.5(d)(5), 25201.5(d)(6)	Records for conducting HW treatment are maintained onsite for three years
Q495	HSC 6.5 25201.5(d)(4), 25201.5(d)(5)	Records for scheduling and logging inspections are maintained onsite for three years
PBR		
General Operating Requirements		
Q560	22 CCR 45 67450.11(d)(4)(D)	Training for cyanide treatment has been provided
Q565	23 CCR 45 67450.3(c)(5)	Effluent or treatment residuals are discharged compliantly
Q566	22 CCR 45 67450.11(d)(7)(A), 67450.11(d)(7)(B), 67450.11(d)(7)(C)	Spent cyanide-containing process solutions are treated
Q567	22 CCR 11 66261.107 or 66261.110; 22 CCR 45 67450.11(d)(1), 67450.11(d)(2)	Cyanide-containing aqueous wastes are treated
Q568	22 CCR 45 67450.11(a)(1)	Aqueous wastes containing hexavalent chromium are treated
Q569	22 CCR 45 67450.11(a)(8)	Inorganic acid or alkaline wastes are treated
Q570	22 CCR 11 66261.24(a)(2); 22 CCR 45 67450.11(a)(2)	Aqueous wastes containing listed metals and/or fluoride salts are treated
Q571	22 CCR 12 66262.24(a)(1)(B) and 66262.24(a)(2)(B)	Aqueous wastes with < 10% total organic carbon and < 1% total volatile organic compounds are treated
Q572	22 CCR 11 66261.24(a)(2) 22 CCR 45 67450.11(a)(4)	Wastes which contain or are contaminated with listed metals and/or fluoride salts are treated
Q573	22 CCR 45 67450.11(a)(5)	Alum, gypsum, lime, sulfur or phosphate sludges are treated

Q574	22 CCR 11 66261.120 22 CCR 45 67450.11(a)(6)	Listed special wastes that meet the criteria and requirements of special wastes are treated
Q575	22 CCR 11 66261.124 22 CCR 45 67450.11(a)(7)	Wastes, except asbestos, that have been classified by DTSC as special wastes are treated
Q576	22 CCR 11 66261.24(a)(2); 22 CCR 45 67450.11(a)(9)	Soils contaminated with listed metals are treated
Q579	22 CCR 45 67450.11(a)(10)	Various used oil items and oil/water separation sludges are treated
Q580	22 CCR 45 67450.11(a)(11)	Empty contaminated containers are treated
Q581	22 CCR 45 67450.11(a)(12)	Multi-component resins are treated
Q594	22 CCR 45 67450.11(d)(4)(A), 67450.11(d)(4)(B), 67450.11(d)(4)(C)	Cyanide-containing waste generation has been reduced
Q792	22 CCR 45, 67450.3(c)(12); 66264.175	PBR Treatment: Secondary Containment for Treatment in Containers
Notification		
Q542	22 CCR 45 67450.2(b)(2)	Onsite HW Treatment Notification Information submitted
Q543	22 CCR 45 67450.3(c)(2)	Amended Onsite HW Treatment Notification Information submitted
Q545	22 CCR 45 67450.3(c)(10)	Annual Report submitted
Q562	22 CCR 66265.93 and .94 22 CCR 15 66265.77(b)	Ground water contamination and monitoring data was reported
On-Site Records		
Q544	22 CCR 15 66265.33	Preparedness and prevention equipment is tested and maintained
Q554	22 CCR 45 67450.11(d)(7)(E)	Records for cyanide treatment were maintained at the facility for three years
Q555	22 CCR 15 66265.52	HW contingency plan is complete
Q556	22 CCR 15 66265.53	HW contingency plan is maintained at the facility and submitted to required authorities
Q557	22 CCR 45 67450.7	Corrective action documents are submitted
Q558	22 CCR 15 66265.16	Training for HW management has been completed and records are maintained at the facility
Q559	22 CCR 15 66265.51	HW contingency plan has been prepared and implemented
Q564	22 CCR 45 67450.3(c)(8)	Documents for operating a FTU are maintained onsite
Observations		
Q549	22 CCR 15 66265.176	Ignitable or reactive HW containers are located at least 50 ft. from the property line
Q551	22 CCR 15 66265.174	HW container storage areas are inspected at least weekly
Q577	22 CCR 15 66265.172	HW containers are compatible with the HW being stored
Q578	22 CCR 15 66265.171	HW containers are in good condition
Q582	22 CCR 15 66265.173	HW containers are always kept closed except when adding or removing HW
Q583	22 CCR 15 66265.35	This facility maintains adequate aisle space for unobstructed response to emergencies
Q584	22 CCR 15 66265.32	This facility has the required equipment necessary for the hazards that are posed by the HW handled
Q585	22 CCR 15 66265.31	The facility is maintained and operated to minimize the release of a HW
Q586	22 CCR 15 66265.196	Leaks and/or spills from the HW tank system are managed immediately
Q590	22 CCR 15 66265.14	The facility has adequate security
Q593	22 CCR 45 67450.3(c)(7)	The exterior of the treatment unit is marked permanently

P/THHWCF**General Operating Requirements**

Q632	22 CCR 45 67450.25(a)(4), 67450.4(d)(3)	The location is large enough for safe operation
Q633	22 CCR 45 67450.25(a)(4), 67450.4(d)(5)	The location has a physical barrier to delineate the perimeter of the handling and storage areas
Q636	22 CCR 45 67450.25(a)(4), 67450.4(d)(4)	The surface at the location is adequately paved and protected
Q637	22 CCR 45 67450.25(a)(4), 67450.4(e)	The location consolidates HW properly
Q638	22 CCR 45 67450.25(a)(4), 67450.4(d)(1)	The location is clearly marked to control public access
Q640	22 CCR 45 67450.25(a)(4), 67450.4(d)(7)	The location has a canopy/roof that covers the waste handling areas
Q641	22 CCR 45 67450.25(a)(4), 67450.4(d)(9)	The location has a HW storage area that is secured and lighted
Q642	22 CCR 45 67450.25(a)(4), 67450.4(d)(6)	The location has an approved area/structure to store containers of ignitable/reactive HW
Q643	22 CCR 45 67450.25(a)(4), 67450.4(d)(10)	The location has traffic control that has been approved
Q644	22 CCR 45 67450.25(a)(4), 67450.4(d)(8)	The location has posted warning signs
Q610	22 CCR 45 67450.25(a)(4), 67450.4(d)(2)	The location has an approved buffer zone

On-Site Records

Q628	22 CCR 45 67450.25(a)(4), 67450.4(h)	Reports and records for operating the P/THHWCF are maintained for three years
Q630	22 CCR 45 67450.25(a)(4), 67450.4(i)	A written report of any incident of noncompliance was submitted to the CUPA within 15 days
Q631	22 CCR 45 67450.25(a)(4), 67450.4(g)	Records for accepting HW from a small quantity commercial source have been established (CESQG's)

Observations

Q655	22 CCR 45 67450.25(a)(3)(B), 67450.4(b)(9)	The location accepts HW from small quantity commercial sources separate from household HW
------	--	---

PHHWCF**File Review**

Q602	22 CCR 20 66270.60(d)(6)	PHHWCF Notification Information submitted and authorization to operate received from CUPA
Q654	HSC 6.5 25201(a)	A permit to treat HW has been obtained

Notification

Q603	22 CCR 45 67450.30(b)	A written closure cost estimate was submitted
Q604	22 CCR 45 67450.30(b)(1)	An adjusted written closure cost estimate for inflation was submitted
Q607	23 CCR 45 67450.30(c)	Financial Assurance Certification for Closure submitted

On-Site Records

Q606	22 CCR 15 66265.33	Preparedness and prevention equipment is tested and maintained
Q608	22 CCR 15 66265.195(b)	Cathodic protection systems are inspected
Q611	22 CCR 15 66265.192(k)	A complete HW tank system assessment was obtained and kept on file at the facility
Q612	22 CCR 15 66265.191(a), 66265.191(b), 66265.191(c), 66265.191(e)	Existing HW tank system without secondary containment has been assessed and certified annually
Q614	22 CCR 67450.3(c)(9)(F); 22 CCR 15 66265.192(h)	Treatment: Reassessment of the TP Tank systems P.E. Assessment
Q616	22 CCR 67450.3(c)(9)(F); 22 CCR 15 66265.191(g)	Existing HW tank system assessment is complete
Q617	22 CCR 15 66265.192(l), 66265.192(m)	Replacement of parts for the HW tank system have been approved by the CUPA
Q618	22 CCR 15 66265.52	HW contingency plan is complete

Q619	22 CCR 15 66265.53	HW contingency plan is maintained at the facility and submitted to required authorities
Q620	22 CCR 15 66265.16	Training for HW management has been completed and records are maintained at the facility
Q621	22 CCR 15 66265.51	HW contingency plan has been prepared and implemented
Q622	22 CCR 15 66265.195(a)	HW tank system is inspected at least once each operating day
Q623	22 CCR 45 67450.3(c)(9)(D), CCR 15 66265.77(b)	Ground water contamination and monitoring data was reported
Q624	22 CCR 15 66265.193	HW tank systems have secondary containment
Q625	22 CCR 45 67450.25(a)(2)(G)	Tank systems are in compliance with applicable requirements
Q626	22 CCR 45 67450.25(a)(2)(G)(5)(A)	Phase I Environmental Assessment Checklist and Certification submitted
Q627	22 CCR 45 67450.25(a)(2)(A)	A written waste analysis plan has been prepared
Q629	23 CCR 45 67450.25(a)(3)	An Operation Plan was properly completed and maintained at the facility
Observations		
Q613	22 CCR 15 66265.176	Ignitable or reactive HW containers are located at least 50 ft. from the property line
Q615	22 CCR 15 66265.174	HW container storage areas are inspected at least weekly
Q634	22 CCR 15 66265.172	HW containers are compatible with the HW being stored
Q635	22 CCR 15 66265.171	HW containers are in good condition
Q639	22 CCR 15 66265.173	HW containers are always kept closed except when adding or removing HW
Q645	22 CCR 15 66265.35	This facility maintains adequate aisle space for unobstructed response to emergencies
Q646	22 CCR 15 66265.32	This facility has the required equipment necessary for the hazards that are posed by the HW handled
Q647	22 CCR 15 66265.31	The facility is maintained and operated to minimize the release of a HW
Q648	22 CCR 15 66265.196	Leaks and/or spills from the HW tank system are managed immediately
Q649	22 CCR 15 66265.194	HW tank systems are operated properly
Q650	22 CCR 15 66265.17(b), 66265.177	Incompatible wastes are managed properly
Q651	22 CCR 45; 22 CCR 15 66265.17(a)	Ignitable or reactive HW containers are protected from sources of ignition or reaction
Q652	22 CCR 15 66265.14	The facility has adequate security
Q653	22 CCR 15 66265.111, 66265.114, 66265.197	The HW tank system was properly closed
Q657	22 CCR 45 67450.25(a)(6)	HW was disposed of within one year from the date of collection
THHWCF		
File Review		
Q609	22 CCR 45 67450.4(b)	An Operation Plan was properly completed and maintained at the facility
Observations		
Q656	22 CCR 45 67450.4(f)	The location was cleaned up within 144 hours of the termination of the session