# *CalARP Checklist Summary of Violations – Program Level 1 Facilities*

***This is an inspection element checklist of common types of violations of Federal, State, or local laws and regulations.  For specific details about your inspection, refer to your Notice to Comply (NTC).***

| CERS VIOLATION NUMBER | AUTHORITY | DESCRIPTION |
|---|---|---|
| **General** | | |
| **Permit** | | |
| AP098 | SCC 6.96.030 | Has an active permit for CalARP covered process(es) |
| **General Applicability** | | |
| Q096 | 19 CCR 4.5 2735.4(a)(1) | Complied with CalARP provisions when having a RS in a process listed in Table 1/2 |
| Q097 | 19 CCR 4.5 2735.4(a)(2) | Complied with CalARP provisions when having a RS in a process listed in Table 3 |
| Q098 | 19 CCR 4.5 2735.4(a)(3) | Complied with CalARP provisions when having a RS in a process listed in Table 1/2 and 3 |
| **Coordination** | | |
| Q099 | 19 CCR 4.5 2735.5(a) | Closely coordinated with the CUPA to implement the CalARP requirements |
| Q100 | 19 CCR 4.5 2735.5(d)(3) | Ensured response actions have been coordinated with local emergency planning and response agencies |
| **RMP Components and Submission** | | |
| **RMP Submission** | | |
| Q105 | 19 CCR 4.5 2735.5(b)(1), 2735.5(d), 2745.1(a) | Submitted a RMP which includes all requirements in Section 2745.3 to 2745.5 and 2745.8 to 2745.9 |
| Q107 | 19 CCR 4.5 2745.1(b)(1), 2745.1(b)(2) | Submitted the RMP information required by the USEPA to the USEPA |
| Q108 | 19 CCR 4.5 2745.1(c) | Submitted the RMP information required by the USEPA to the CUPA |
| Q112 | 19 CCR 4.5 2745.1(d) | Submitted a RMP to the CUPA prior to the date a RS is in a process above the threshold quantity |
| Q110 | 19 CCR 4.5 2745.1(h) | Excluded classified information from the RMP |
| **RMP Certification** | | |
| Q111 | 19 CCR 4.5 2745.2(a) | Ensured that the RMP is certified complete by a qualified person and the owner or operator |
| Q113 | 19 CCR 4.5 2745.9(a) | Submitted in the RMP the certification statement provided in Section 2735.5(d)(4) |
| **RMP Updates** | | |
| Q118 | 19 CCR 4.5 2745.10(a) | Revised, updated, and submitted the RMP to the USEPA and CUPA per timelines in Section 2745.10(a) |
| Q121 | 19 CCR 4.5 2745.10(b) | Revised, updated, and submitted the RMP to the CUPA per timelines in Section 2745.10(b) |
| Q115 | 19 CCR 4.5 2745.10(c) | Submitted a de-registration to the USEPA and  CUPA within six months of the RS removal |
| Q116 | 19 CCR 4.5 2745.10(d) | Submitted a de-registration to the CUPA within six months of the RS removal |
| Q117 | 19 CCR 4.5 2745.10(f) | Contacted the CUPA within 30 days of a change of owner or operator to update the registration |
| **RMP Corrections** | | |
| Q124 | 19 CCR 4.5 2745.10.5(a) | Corrected and submitted the data required in the RMP under sections 2745.5, 2745.6(j), and 2745.7(l) |

| Q119 | 19 CCR 4.5 2745.10.5(b) | Corrected and submitted the emergency contact information in the RMP within one month of any change |
|---|---|---|
| **Covered Process Modification** | | |
| Q120 | 19 CCR 4.5 2745.11(a)(1) | Notified the CUPA in writing at least five calendar days before implementing any modifications |
| Q122 | 19 CCR 4.5 2745.11(a)(2) | Notified the CUPA within 48 hours after the modification where prenotification is not reasonable |
| **Certificate of Occupancy** | | |
| Q123 | 19 CCR 4.5 2745.12 | Complied with Government Code Section 65850.2(b) prior to issuance of a certificate of occupancy |
| **RMP Review** | | |
| Q125 | 19 CCR 4.5 2745.2(b)(1) | Corrected deficiencies within 60 calendar days from receipt of the notification of RMP deficiencies |

## Registration

| Q126 | 19 CCR 4.5 2735.5(b)(2) | Included a registration in the RMP that reflects all covered processes |
|---|---|---|
| Q127 | 19 CCR 4.5 2740.1(a) | Completed the registration information and submitted it with the RMP to the USEPA and the CUPA |
| Q128 | 19 CCR 4.5 2740.1(b) | Completed the registration information and submitted it with the RMP to the CUPA |
| Q129 | 19 CCR 4.5 2740.1(c) | Submitted a registration with a certification of accuracy to the CUPA prior to submittal of the RMP |
| Q130 | 19 CCR 4.5 2740.1(d) | Included the data required in Section 2740.1 (d)(1) through (d)(20) in the registration |

## Hazard Assessment

| | **General Applicability** | |
|---|---|---|
| Q131 | 19 CCR 4.5 2750.1 | Prepared a worst-case release scenario analysis as provided in Section 2750.3 |
| Q132 | 19 CCR 4.5 2750.1 | Completed the five-year accident history as provided in Section 2750.9 |

## Other Requirements

| | **Recordkeeping** | |
|---|---|---|
| Q134 | 19 CCR 4.5 2775.1 | Maintained records supporting the implementation of CalARP for five years unless otherwise provided |
| Q135 | 19 CCR 4.5 2785.1(a) | Coordinated with CUPA to ensure appropriate technical standards are applied to implement CalARP |
| Q136 | 19 CCR 4.5 2785.1(b) | Requested assistance from the CUPA when necessary to address compliance/safety issues with CalARP |

9/17/2018 DK W:\DATA\FORMSARCHIVE\HM\CAL ARP\**CAL ARP**\CAL ARP CHECKLISTS REV\CHECKLIST SUMMARY OF VIOLATIONS CALARP LV1 - TABLET.DOC

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**Internet Address:** *http://www.emd.saccounty.net*    Voice (8am – 5pm): 916/875-8550 • FAX: 916/875-8513

# RETURN TO COMPLIANCE STATEMENT

This *Return To Compliance Statement* must be returned to EMD within 19 days of your facility's inspection for Priority Corrective Action violations and within 35 days for all other violations (unless otherwise noted on the Notice To Comply.)  Also include copies of any proof of compliance documents (e.g. photos, copies of manifests/disposal records or receipts, or other original paperwork.)

| Compliance Certification |
|---|
| 1. *I certify that the violations noted on the Notice To Comply (and accompanying inspection checklists) have been corrected in the manner indicated below.* |
| 2. *I have personally examined any attached documentation submitted as proof of compliance and I believe the information to be true, accurate and complete.* |
| 3. *I am aware that there are significant penalties for submitting false information and/or for non-compliance with violations noted.* |
| 4. *I declare under penalty of perjury that the foregoing certification is true and correct.* |

| *Executed at:* | , California | *Date:* |
|---|---|---|
| *Facility Name:* | | *Facility ID#(FA):* |
| *Signature:* | | *Printed Name:* |
| *Position/Title:* | | |

| SUMMARY OF VIOLATION COMPLIANCE ACTION | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Violation Number | Check Type of Evidence Submitted | | | Violation Number | Check Type of Evidence Submitted | | | Violation Number | Check Type of Evidence Submitted | | |
| | Photo | Paperwork | Statement | | Photo | Paperwork | Statement | | Photo | Paperwork | Statement |
| AP098 | ☐ | ☐ | ☐ | Q135 | ☐ | ☐ | ☐ | | | | |
| Q096 | ☐ | ☐ | ☐ | Q136 | ☐ | ☐ | ☐ | | | | |
| Q097 | ☐ | ☐ | ☐ | | | | | | | | |
| Q098 | ☐ | ☐ | ☐ | | | | | | | | |
| Q099 | ☐ | ☐ | ☐ | | | | | | | | |
| Q100 | ☐ | ☐ | ☐ | | | | | | | | |
| Q105 | ☐ | ☐ | ☐ | | | | | | | | |
| Q107 | ☐ | ☐ | ☐ | | | | | | | | |
| Q108 | ☐ | ☐ | ☐ | | | | | | | | |
| Q112 | ☐ | ☐ | ☐ | | | | | | | | |
| Q110 | ☐ | ☐ | ☐ | | | | | | | | |
| Q111 | ☐ | ☐ | ☐ | | | | | | | | |
| Q113 | ☐ | ☐ | ☐ | | | | | | | | |
| Q118 | ☐ | ☐ | ☐ | | | | | | | | |
| Q121 | ☐ | ☐ | ☐ | | | | | | | | |
| Q115 | ☐ | ☐ | ☐ | | | | | | | | |
| Q116 | ☐ | ☐ | ☐ | | | | | | | | |
| Q117 | ☐ | ☐ | ☐ | | | | | | | | |
| Q124 | ☐ | ☐ | ☐ | | | | | | | | |
| Q119 | ☐ | ☐ | ☐ | | | | | | | | |
| Q120 | ☐ | ☐ | ☐ | | | | | | | | |
| Q122 | ☐ | ☐ | ☐ | | | | | | | | |
| Q123 | ☐ | ☐ | ☐ | | | | | | | | |
| Q125 | ☐ | ☐ | ☐ | | | | | | | | |
| Q126 | ☐ | ☐ | ☐ | | | | | | | | |
| Q127 | ☐ | ☐ | ☐ | | | | | | | | |
| Q128 | ☐ | ☐ | ☐ | | | | | | | | |
| Q129 | ☐ | ☐ | ☐ | | | | | | | | |
| Q130 | ☐ | ☐ | ☐ | | | | | | | | |
| Q131 | ☐ | ☐ | ☐ | | | | | | | | |
| Q132 | ☐ | ☐ | ☐ | | | | | | | | |
| Q134 | ☐ | ☐ | ☐ | | | | | | | | |