

## Tiered Permitting / Household Hazardous Waste Checklist Summary of Violations

*This is an inspection element checklist of common types of violations of Federal, State, or local laws and regulations. For specific details about your inspection, refer to your Official Inspection Report.*

**CCR – California Code of Regulations, HSC – Health & Safety Code, SCC – Sacramento County Code**

VIOLATION CODE	AUTHORITY	DESCRIPTION
<b>All Tiers</b>		
<b>Observations</b>		
3215	SSC 6.98.030	Facility has a valid Permit to Operate from the CUPA
3250005	HSC 6.5 25201(a)	Failure to obtain a hazardous waste facilities permit, or other grant of authorization, prior to accepting, treating, storing, or disposing of a hazardous waste at the facility, area, or site.
3210043	HSC 6.11 25404(e)(4)	Failure to report program data electronically.
<b>File Review</b>		
3210012	22 CCR 15 66265.192(k); 22 CCR 45 67450.3(c)(9)(F)	Failure to include all required information in the new tank system assessment.
3210013	22 CCR 15 66265.191(a), 66265.191(b), 66265.191(c), 66265.191(e)	Failure of the generator conducting CE, CA, or PBR treatment activity to annually obtain and maintain a current P.E. assessment of the existing Hazardous Waste tank systems which lacks secondary containment meeting the requirements of section 66265.193. The P.E. assessment shall determine that the tank system is adequately designed and has sufficient structural strength and compatibility with the waste(s) to be transferred, stored or treated to ensure that it will not collapse, rupture, or fail. At a minimum, this assessment shall include all elements of the tank integrity assessment.
3210014	22 CCR 15 66265.192(a), 66265.192(h); 22 CCR 45 67450.3(c)(9)(F)	Failure to obtain and maintain a written assessment reviewed and certified by an independent, qualified, professional engineer prior to placing the tank system in service. The written assessment shall state that, the new hazardous waste tank system has sufficient structural integrity, is acceptable for the transferring, storing and treating of hazardous waste, and that the tanks and containment system including the foundation, structural support, seams, connections, and pressure controls (if applicable) are suitably designed to meet the regulation. The tank assessment shall be valid for five (5) years.
3210015	22 CCR 15 66265.191(g); 22 CCR 45 67450.3(c)(9)(F)	Failure to include all required information in the tank system assessment.
3210016	22 CCR 15 66265.192(m); 22 CCR 45 67450.3(c)(9)(F)	Failure to obtain CUPA approval prior to the replacement of identical or functionally equivalent tank system parts or components.
3210042	22 CCR 15 66265.192(h); 22 CCR 45 67450.3(c)(9)(F)	Failure of generator to obtain assessment or reassessment every five (5) years or the remaining service life of the tank system, as stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems containing only non-RCRA hazardous wastes generated onsite, and tank systems authorized under Permit-by-Rule, Conditional Authorization, and Conditional Exemption or for a small quantity generator onground or aboveground tank systems containing RCRA hazardous wastes generated onsite.
3230072	22 CCR 15 66265.17(a), 67450.3(c)(9)(A)	Failure to take precautions to prevent accidental ignition or reaction of ignitable or reactive waste. This waste shall be separated and protected from sources of ignition or reaction including but not limited to: Open flames, smoking, cutting and welding, hot surfaces, frictional heat, sparks (static, electrical, or mechanical), spontaneous ignition (e.g., from heat-producing chemical reactions), and radiant heat. While ignitable or reactive waste is being handled, the owner or operator shall confine smoking and open flame to specially designated locations. "No Smoking" signs shall be conspicuously placed wherever there is a hazard from ignitable or reactive waste.
<b>Conditionally Authorized (CA)</b>		
<b>General Operating Requirements</b>		
3210048	HSC 6.5 25189, 25200.3(c)(8)	Failure to treat only hazardous waste that is generated onsite.

3230079	HSC 6.5 25200.3(a),25200.3(b)	Failure of the generator treating hazardous wastes under grant of conditional authorization to comply with the requirements applicable to the treatment process.
3230082	HSC 6.5 25200.3(c)(4), 66264.175(a)(b)	Failure to provide secondary containment for treatment in containers.
3230083	HSC 6.5 25200.3(b)(1)	Treated hazardous waste in excess of 5,000 gallons/unit or 45,000 pounds in any calendar month, whichever is less, unless the wastes are: * Dilute aqueous waste, which are hazardous solely due to the presence of inorganic constituents; * Dilute aqueous waste, which are hazardous solely due to the presence of organic constituents; * Neutralization of acidic or alkaline wastes that are hazardous only due to corrosivity or toxicity that results only from the acidic or alkaline material, in elementary neutralization units, if the wastes contain less than 10 percent acid or base constituents by weight; if the wastes contain more than 10 percent acid or base constituents by weight, the volume treated in a single batch at any one time shall not exceed 500 gallons. * Treatment of oil mixed with water and oil/water separation sludges, using any of the approved treatment technologies.
<b>Notification</b>		
3210003	HSC 6.5 25200.3(e)	Failure to electronically submit the signature page for the onsite treatment notification.
3210008	HSC 6.5 25200.3(k)	Failure to electronically submit to the CUPA an amended notification within 30 days of any change in operation which necessitates modifying any of the information submitted in the notification.
3210025	22 CCR 45 67450.13(a)(1), 67450.13(b)	Failure to prepare, failure to prepare correctly, and/or failure to submit to the UPA, the Certification of Financial Assurance, a written estimate of the cost of closing each unit, or an approved financial assurance mechanism, if applicable.
3210027	22 CCR 45 67450.13(a)(2)	Failure to adjust Closure Costs for inflation/deflation by March 1st.
3210029	22 CCR 45 67450.13(a)(4)	Failure to update the closure cost estimate whenever a change occurs that increases the cost of closure, and/or failure to maintain a copy of the latest closure cost estimate at the facility during the operating life of the facility.
<b>On-Site Records</b>		
3210024	HSC 6.5 25200.14(b)(1)	Failure to complete and/or submit a Phase I Checklist to DTSC within one year of commencing operations, and after release of hazardous waste or constituents from any solid or hazardous waste management unit.
3210037	HSC 6.5 25200.3(c)(6),25200.3(c)(7)	Failure of the generator to prepare and maintain onsite, for a period of five years, adequate records to demonstrate that requirements and conditions of the grant of conditional authorization are met.
3210045	HSC 6.5 25200.3(e)(3)	Failure to identify the following information in CERS: all generator information, all Conditional Authorization treatment units, accurately list number of tanks and containers in each unit, and plot plan/map that shows unit locations are properly identified.
3210052	HSC 6.5 25200.3(c)(7)	Failure to maintain adequate records to demonstrate compliance with all applicable pretreatment standards and with all applicable industrial waste discharge requirements issued by the agency operating the publicly owned treatment works into which the wastes are discharged.
3230011	HSC 6.5 25200.3(c)(5), 25200.3(c)(7)	Failure to prepare and maintain onsite for five years, a treatment system inspection program and the log of inspections conducted.
<b>Observations</b>		
3230088	22 CCR 15 66265.14; HSC 6.5 25200.3(c)(1)	Failure to provide a posted sign stating, "Danger Hazardous Waste Area - Keep Out" and to provide one of the following means of security to the active hazardous waste portion of the facility: 1) A 24-hour surveillance system (e.g., television monitoring or surveillance by guards or facility personnel) which continuously monitors and controls entry; or 2) A) An artificial or natural barrier (e.g., a fence in good repair or a fence combined with a cliff), which completely surrounds area; and B) A means to control entry, at all times, through the gates or other entrances (e.g., an attendant, television monitors, locked entrance, or controlled roadway access to the facility).
3250001	HSC 6.5 25200.3(g)	Failure of the generator to remove or decontaminate all containment system components and structures or equipment contaminated with hazardous waste and soils and provide written notification of all closure activities at the closure of the treatment unit.

**Conditionally Exempt (CE)****General Operating Requirements**

3230018	HSC 6.5 25144.6(c)	Failure of a facility laundering reusable soiled textile materials to meet the requirements of the conditional exemption applicable to the process.
3230080	22 CCR 45 67450.11(a); HSC 6.5 25201.5(a), 25201.5(c)	Failure of the generator treating hazardous wastes to comply with the requirements of the conditional exemption applicable to the treatment process.
3210049	HSC 6.5 25201.5(d)(8)	Failure to treat only hazardous waste that is generated onsite.

**Notification**

3210004	HSC 6.5 25201.5(d)(7)	Failure to electronically submit the signature page for the onsite treatment notification.
3210009	HSC 6.5 25201.5(i)	Failure to electronically submit to the CUPA an amended notification within 30 days of any change in operation which necessitates modifying any of the information submitted in the notification.
3210046	HSC 6.5 25201.5(d)(7)	Failure to identify the following information in CERS: all generator information, all Conditionally Exempt treatment units, accurately list number of tanks and containers in each unit, and plot plan/map that shows unit locations are properly identified.
3210050	HSC 6.5 25201.5(d)(8)	Failure of the generator to remove or decontaminate all waste residues, containment system components, soils and other structures or equipment contaminated with hazardous waste and provide written notification of all closure activities at the closure of the treatment unit.

**On-Site Records**

3210038	HSC 6.5 25201.5(d)(3), 25201.5(d)(5), 25201.5(d)(6)	Failure of the generator to prepare and maintain onsite, for a period of three years, the following: (1) adequate records to demonstrate compliance with all applicable pretreatment standards and discharge requirements issued by the agency operating the POTW; (2) records of the dates, concentrations, amounts, and types of waste treated; and (3) written operating instructions.
3210051	HSC 6.5 25201.5(d)(6)	Failure of the generator to maintain adequate records to demonstrate that they are in compliance with all applicable pretreatment standards and waste discharge requirements and treatment residuals managed and disposed in accordance with applicable federal, state, and local requirements.
3230031	HSC 6.5 25201.5(d)(4), 25201.5(d)(5)	Failure to prepare, implement, and maintain onsite, for three years at the facility, a treatment system inspection program and log of inspections conducted.

**Observations**

3230084	HSC 6.5 25201.5(a)	Failure to comply with total monthly treatment limitation of 55 gallons or 500 lbs when the hazardous waste is not extremely hazardous waste and hazardous waste permit is not required for any other activity at that facility.
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**Permit By Rule (PBR)****General Operating Requirements**

3220002	22 CCR 45 67450.11(d)(4)(D)	Failure to provide initial and annual training to employees, who handle cyanide process solutions, cyanide-containing rinse waters, or manage cyanide-containing aqueous waste, on how to reduce wastes in the production area, including, but not limited to, procedures to: <ol style="list-style-type: none"> <li>1. reduce drag-out of plating baths,</li> <li>2. minimize contaminants in process baths,</li> <li>3. extend process bath life,</li> <li>4. minimize chemical spills and splashes from process and rinse solutions handling practices, and</li> <li>5. respond to chemical spills to reduce waste and minimize releases from process and rinse solutions handling practices.</li> </ol>
3230044	22 CCR 45 67450.11(d)(7)(A), 67450.11(d)(7)(B), 67450.11(d)(7)(C), 67450.11(d)(7)(D)	Failure of the owner or operator to meet the applicable requirements while adding spent cyanide-containing process solutions to the aqueous waste for the purpose of reducing cyanide processing hazards.
3230045	22 CCR 45 67450.11(d)(1), 67450.11(d)(2), 67450.11(d)(3)	Failure of the generator to meet the specified requirement while treating cyanide waste solutions under Permit by Rule.
3230046	22 CCR 45 67450.11(d)(4)(A), 67450.11(d)(4)(B), 67450.11(d)(4)(C)	Failure of the owners or operators treating cyanide waste solutions to implement best management practices (BMP) to reduce waste generation and minimize or eliminate releases to work areas and the environment.

3230047	22 CCR 45 67450.3(c)(5)	Failure of the owner or operator discharging any effluent or treatment residuals to meet the applicable discharge requirements.
3230049	22 CCR 45 67450.11(a)(1)	Failure of the generator to meet the Permit by Rule requirements for the treatment of Chromium VI waste, including automatically controlled addition of both pH and the reducing agents.
3230074	22 CCR 15 66265.193; 22 CCR 45 67450.3(c)(9)(F)	Failure to meet secondary containment requirements for hazardous waste tank systems or components.
3230081	22 CCR 45 66264.175, 67450.3(c)(12)	Failure to provide secondary containment for treatment in containers and obtain P.E. certification that containment is suitably designed to achieve the requirements.
3240002	22 CCR 15 66265.56(j), 66265.77(a); 22 CCR 45 67450.3(c)(9)(D)	Failure to notify DTSC of a release, fire, and/or explosion within 15 days and include the following: 1) Name, address, and telephone number of the owner or operator; 2) Name, address, and telephone number of the facility; 3) Date, time, and type of incident (e.g., fire, explosion); 4) Name and quantity of material(s) involved; 5) The extent of injuries, if any; 6) An assessment of actual or potential hazards to human health or the environment, where this is applicable; and 7) Estimated quantity and disposition of recovered material that resulted from the incident.
3210047	22 CCR 45 67450.3(c)(6); HSC 6.5 25189	Failure to treat only hazardous waste that is generated onsite.
<b>Notification</b>		
3210053	22 CCR 45 67450.3(c)(1)	Failure to electronically submit to the CUPA an annual Tiered Permit notification.
3210002	22 CCR 45 67450.2(b)(2)	Failure to electronically submit the signature page for the onsite treatment notification.
3210007	22 CCR 45 67450.3(c)(2)	Failure to electronically submit to the CUPA an amended notification within 30 days of any change in operation which necessitates modifying any of the information submitted in the most recent notification.
3210026	22 CCR 45 67450.13(a)(1), 67450.13(b)	Failure to prepare, failure to prepare correctly, and/or failure to submit to the UPA, the Certification of Financial Assurance, a written estimate of the cost of closing each unit, or an approved financial assurance mechanism, if applicable.
3210028	22 CCR 45 67450.13(a)(2)	Failure to adjust Closure Cost Estimate for inflation by March 1st.
3210030	22 CCR 45 67450.13(a)(3)	Failure to update the closure cost estimate whenever a change occurs that increases the cost of closure, and/or failure to maintain a copy of the latest closure cost estimate at the facility during the operating life of the facility.
3210039	22 CCR 45 67450.3(c)(10)	Failure of the owner or operator of a fixed treatment unit (FTU), upon request by the CUPA or authorized agency, to prepare, and submit to CUPA a complete annual report detailing treatment activities conducted during the calendar year preceding the request.
3210044	22 CCR 45 67450.2(b)(3)(B)(G)	Failure to identify the following information in CERS: all generator information, all Permit-by-Rule treatment units, accurately list number of tanks and containers in each unit, and plot plan/map that shows unit locations are properly identified.
3230086	22 CCR 45 67450.3(c)(11)(D), 67450.3(c)(11)(F), 67450.3(c)(11)(G), 67450.3(c)(8)(G)	Failure to prepare a written closure plan, to notify CUPA 15 days prior to the completion of closure, or to submit to CUPA a closure certification signed by the owner/operator and an independent P.E.
<b>On-Site Records</b>		
3210010	22 CCR 45 67450.3(c)(8)	Failure to maintain the required documents, including waste analysis plan, contingency plan, training documents, Phase I Environmental Assessment, written inspections schedules, etc. at the facility where the FTU is operating and upon request make these documents available to the authorized agency, DTSC, the CUPA, or EPA, or local government agency.
3210011	22 CCR 45 67450.11(d)(7)(E)	Failure to maintain the required documents at the facility for a minimum of three years from the last date of any activity and made available to authorized representatives of DTSC, the CUPA, or the U.S. EPA.
3210022	22 CCR 45 67450.3, 67450.7; HSC 6.5 25200.14(b)(1)	Failure to complete and/or submit a Phase I Checklist to DTSC within one year of commencing operations, and after release of hazardous waste or constituents from any solid or hazardous waste management unit.

3230085	22 CCR 45 67450.3(c)(8)(B), 67450.3(c)(9)(A)	Failure to prepare a treatment system inspection program and the log of inspections conducted and maintain onsite for three years.
3230087	22 CCR 15 66265.13(a), 66265.13(b); 22 CCR 45 67450.3(c)(8)(A), 67450.3(c)(9)(A)	Failure of an owner or operator to obtain a detailed chemical and physical analysis of a representative sample of the waste, which includes all information necessary to manage waste in accordance with the requirements, and to meet LDR requirements; Failure to analyze wastes according to the frequency specified in the plan and to retain waste analysis documentation for three years.
<b>Observations</b>		
3230048	22 CCR 45 67450.3(c)(7)	Failure to permanently mark the exterior of each FTU with the name of the person which owns or operates the FTU, facility identification number and an individual serial number.
3230069	22 CCR 15 66265.194; 22 CCR 45 67450.3(c)(9)(F)	Failure to comply with general tank operating requirements: a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank, its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fail. b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment systems. These include at a minimum: 1) Spill prevention controls (e.g., check valves, dry discount couplings); 2) Overfill prevention controls (e.g., level sensing devices, high level alarms, automatic feed cutoff, or bypass to a standby tank); and 3) Maintenance of sufficient freeboard in uncovered tanks to prevent overtopping by wave or wind action or by precipitation. Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a containment structure (e.g., dike or trench), a drainage control system or a diversion structure (e.g., standby tank) with a capacity that equals or exceeds the volume of the top 60 centimeters (2 feet) of the tank."
3230075	22 CCR 15 66265.14; 22 CCR 45 67450.3(c)(9)(A)	Failure of owner or operator to provide posted sign stating, "Danger Hazardous Waste Area - Keep Out" and to provide one of the following means of security to the active hazardous waste portion of the facility: 1) A 24-hour surveillance system (e.g., television monitoring or surveillance by guards or facility personnel) which continuously monitors and controls entry; or 2) A) An artificial or natural barrier (e.g., a fence in good repair or a fence combined with a cliff), which completely surrounds area; and B) A means to control entry, at all times, through the gates or other entrances (e.g., an attendant, television monitors, locked entrance, or controlled roadway access to the facility).
3250004	22 CCR 15 66265.111, 66265.114, 66265.197; 22 CCR 45 67450.3(c)(11)	Failure of owner or operator to properly close a hazardous waste tank system ensuring the minimization of further maintenance and that all other requirements have been met.
<b>Permanent Household Hazardous Waste Collection Facility (PHHWCF) / Temporary Household Hazardous Waste Collection Facility (THHWCF)</b>		
<b>General Operating Requirements</b>		
3330013	HSC 6.5 25218.2, 25218.8	Failure of the operator of a recycle-only household hazardous waste collection facility not operating under a hazardous waste facilities permit to comply with notification and operation requirements.
<b>On-Site Records</b>		
3310017	HSC 6.5 25218.11.5	Failure of a HHW program to have a complete quality assurance program which sets guidelines for participating container screening, acceptance, and evaluation, as well as when containers no longer meet protocols and becomes a waste.
3310018	HSC 6.5 25218.12(b)(1)	Materials exchange program failed to collect required information from commercial entities who will re-distribute materials received from the program.
3310019	HSC 6.5 25218.12(b)(2)	Materials exchange program failed to collect required annual report from commercial entities who accepted materials for the purpose of redistribution.
3310020	HSC 6.5 25218.5(a)(1)(A)(ii) HSC 6.5 25218.8(b)(3)(A)(ii)	Failed to ensure that proxy deliveries of HHW are accompanied by a certification noting the proxy delivery's circumstances and that the proxy delivery person is not doing so for profit.
3310021	HSC 6.5 25163(f)(2)	Failure to maintain shipping papers for the transfer of HHW from one HHWCF to another.
<b>Observations</b>		
3330025	HSC 6.5 25218.12(a)(2)	Materials exchange program is not informing customers to use materials in a manner consistent with their original use.
3330026	HSC 6.5 25218.12(b)(3)	Materials exchange program failed to stop supplying materials to commercial entities who accepted materials for the purpose of redistribution but who have not provided required information or annual report.

**Permanent Household Hazardous Waste Collection Facility (PHHWCF)****General Operating Requirements**

3330001	22 CCR 45 67450.25(a)(4), 67450.4(d)(3)	Failure of the PHHWCF to operate with a large enough work area to accommodate all necessary personnel, storage, vehicles, and equipment.
3330002	22 CCR 45 67450.25(a)(4), 67450.4(d)(5)	Failure of the PHHWCF to have a physical barrier to delineate the handling and storage area.
3330003	22 CCR 45 67450.25(a)(4), 67450.4(d)(2)	Failure of the PHHWCF to operate with a significant buffer zone.
3330004	22 CCR 45 67450.25(a)(4), 67450.4(e)	Failure of the PHHWCF to meet all requirements when bulking wastes.
3330005	22 CCR 45 67450.25(a)(4), 67450.4(d)(1)	Failure of the PHHWCF to operate in a location that is clearly marked to control public access.
3330007	22 CCR 45 67450.25(a)(4), 67450.4(d)(7)	Failure of the PHHWCF to have a roof or canopy that covers the handling, sorting, and bulking areas.
3330008	22 CCR 45 67450.25(a)(4), 67450.4(d)(9)	Failure of the PHHWCF storage area to meet all site security requirements.
3330009	22 CCR 45 66265.17(a); 66265.17(b); 67450.25(a)(4); 67450.4(d)(6)	Failure of the PHHWCF to have a storage area to store reactive and ignitable waste.
3330010	22 CCR 45 67450.25(a)(4), 67450.4(d)(10); HSC 6.5 25218.3(e)	Failure of the PHHWCF to have traffic control which is one way, directed by staff, and assures all persons remain in their car during waste transfer, unless requested to exit the vehicle to provide access to the waste being delivered.
3330011	22 CCR 45 67450.25(a)(4), 67450.4(d)(8)	Failure of the PHHWCF to post around the area signs stating "Danger! Hazardous Waste Area - Unauthorized Personnel Keep Out" and are visible at 25 ft.

**File Review**

3310001	22 CCR 20 66270.60(d)(6)	Failure of the operator of the PHHWCF to submit a Permanent Household Hazardous Waste Collection Facility Permit by Rule Notification to CUPA or authorized agency a minimum of 45 days in advance of the planned commencement of operation.
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**Notification**

3310007	22 CCR 45 67450.30(c)	Failure of the owner or operator of PHHWCF to prepare and submit a financial assurance certification of closure for the PHHWCF, with an established mechanism or by self-insuring for public agencies using DTSC Form 1220 (2/96) with original signatures.
3310008	22 CCR 45 67450.30(b)(3) and (4)	Failure to prepare, submit, and maintain onsite an estimate of the maximum cost projected of closing the PHHWCF.
3310009	22 CCR 45 67450.30(b)(1), 67450.30(b)(2)	Failure of the operator to adjust annually the closure cost estimate for inflation within sixty (60) days prior to the anniversary date of the original establishment of the financial mechanism or to revise the closure cost estimate no more than thirty (30) days after a change in the closure plan increases the cost of closure.

**On-Site Records**

3310003	22 CCR 15 66265.192(h); 22 CCR 45 67450.25(a)(2)(G)	Failure of the owner or operator to prepare and maintain for the tank systems: professional engineering certification provided by a manufacturer, an independent professional engineer registered in the State of California or a professional engineer employed by the local government entity and from a different division or agency than the operator. Failure of owner or operator to obtain tank reassessment every five years.
3310004	22 CCR 45 67450.25(a)(3)	Failure of the PHHWCF operator to assure that copies of the operation plan, all records which identify receipts and shipments of hazardous wastes from household and small quantity commercial sources and all notifications pertaining to the PHHWCF Operations/Maintenance are maintained and available upon demand by the CUPA, or authorized agency for a period of at least three years from the commencement of the PHHWCF.
3310005	22 CCR 45 67450.25(a)(4), 67450.4(i)	Failure of the PHHWCF operator to submit a written report to the appropriate CUPA or authorized agency of any incidents of noncompliance with any regulatory requirement that may have occurred within 15 days of such an occurrence.
3310006	22 CCR 45 67450.25(a)(5)	Failure of the PHHWCF operator to complete, maintain as part of the operation plan, and file a Phase I assessment within 1 year of commencing operation.
3310010	22 CCR 45 67450.25(a)(2)(A)	Failure of the owner or operator to prepare and maintain a written waste analysis plan describing the procedures which the operator or contractor will carry out to characterize unidentified wastes received at the facility including field analysis methods such as Hazardous Category (HAZCAT) analysis.

3310012	22 CCR 45 67450.25(a)(3)	Failure of the PHHWCF to prepare and maintain an operation plan containing all required information.
3310013	22 CCR 45 67450.25(a)(4); 67450.4(g)	Failure of the PHHWCF operator to establish a separate record which identifies the name, address, and identification number of the CESQG, the type(s) and quantity(ies) of hazardous wastes accepted from small quantity commercial sources, and the fees paid to the PHHWCF for the management of those wastes.
<b>Observations</b>		
3330006	22 CCR 45 67450.25(a)(4)(A)	Failure of the PHHWCF to have a continuous base that is free of cracks or gaps and is sufficiently impervious to contain leaks, spills, and accumulated precipitation that meets the requirements of section 66264.175(b)(1).
3330012	22 CCR 45 67450.25(a)(6)	Failure of the PHHWCF to dispose of hazardous waste within one year of accumulation start date.
3350001	22 CCR 45 67450.25(a)(3)(B), 67450.4(b)(9)	Failure of the PHHWCF operator to obtain authorization to accept waste from SQG's.
<b>Temporary Household Hazardous Waste Collection Facility (THHWCF)</b>		
<b>General Operating Requirements</b>		
3330014	22 CCR 45 67450.4(d)(3)	Failure of the THHWCF to operate with a large enough work area to accommodate all necessary personnel, storage, vehicles, and equipment.
3330015	22 CCR 45 67450.4(d)(5)	Failure of the THHWCF to have a physical barrier to delineate the handling and storage area.
3330016	22 CCR 45 67450.4(d)(2)	Failure of the THHWCF to operate with a significant buffer zone.
3330017	22 CCR 45 67450.4(e)	Failure of THHWCF to meet all requirements when bulking wastes.
3330018	22 CCR 45 67450.4(d)(1)	Failure of the THHWCF to operate in a location that is clearly marked to control public access.
3330019	22 CCR 45 67450.4(d)(4)	Failure of the THHWCF to have the area paved and covered with a plastic tarp of at least 6 mm thick and ensure all tears and punctures are repaired.
3330020	22 CCR 45 67450.4(d)(7)	Failure of the THHWCF to have a roof or canopy that covers the handling, sorting, and bulking areas.
3330021	22 CCR 45 67450.4(d)(9)	Failure of the THHWCF storage area to meet all site security requirements.
3330022	22 CCR 45 67450.4(d)(6)	Failure of the THHWCF to have a storage area to store reactive and ignitable waste.
3330023	22 CCR 45 67450.4(d)(10); HSC 6.5 25218.3(e)	Failure of the THHWCF to have traffic control which is one way, directed by staff, and assures all persons remain in their car during waste transfer, unless requested to exit the vehicle to provide access to the waste being delivered.
3330024	22 CCR 45 67450.4(d)(8)	Failure of the THHWCF to post around the area signs stating "Danger! Hazardous Waste Area - Unauthorized Personnel Keep Out" and are visible at 25 ft.
<b>File Review</b>		
3310002	22 CCR 20 66270.60(d)(5)	Failure of the operator of the THHWCF to submit a Temporary Household Hazardous Waste Collection Facility Permit by Rule Notification to CUPA or authorized agency a minimum of 45 days in advance of the date the first session of the THHWCF commences operation.
3310011	22 CCR 45 67450.4(b)	Failure of the THHWCF to prepare and maintain an operation plan containing all required information.
<b>Notification</b>		
<b>On-Site Records</b>		
3310014	22 CCR 45 67450.4(h)	Failure of the THHWCF operator to assure that copies of the operation plan, all records which identify receipts and shipments of hazardous wastes from household and small quantity commercial sources and all notifications pertaining to the THHWCF Operations/ Maintenance are maintained and available upon demand by the CUPA, or authorized agency for a period of at least three years from the commencement of the THHWCF.

3310015	22 CCR 45 67450.4(i)	Failure of the THHWCF operator to submit a written report to the appropriate CUPA or authorized agency of any incidents of noncompliance with any regulatory requirement that may have occurred within 15 days of such an occurrence.
3310016	22 CCR 45 67450.4(g)	Failure of the THHWCF operator to establish a separate record which identifies the name, address, and identification number of the CESQG, the type(s) and quantity(ies) of hazardous wastes accepted from small quantity commercial sources, and the fees paid to the THHWCF for the management of those wastes.
<b>Observations</b>		
3350002	22 CCR 45 67450.4(f)	Failure of the THHWCF operator to remove and decontaminate structures, equipment, soil and all collected materials and wastes within 144 hours after termination of the session and assure that all contaminated materials and wastes were removed by a registered hazardous waste transporter.
3350003	22 CCR 45 67450.4(b)(9)	Failure of the THHWCF operator to obtain authorization to accept waste from SQG's.
<b>General</b>		
<b>Tiered Permitting</b>		
3210	22 CCR Multiple Multiple; HSC 6.5 Multiple	Administration/Documentation - General
3215	Sacramento County Code Multiple	Tiered Permitting - Administration/Documentation - General Local Ordinance
3220	22 CCR Multiple Multiple; HSC 6.5 Multiple	Training - General
3225	Sacramento County Code Multiple	Tiered Permitting - Training - General Local Ordinance
3230	22 CCR Multiple Multiple; HSC 6.5 Multiple	Operations/Maintenance - General
3235	Sacramento County Code Multiple	Tiered Permitting - Operations/Maintenance - General Local Ordinance
3240	22 CCR Multiple Multiple; HSC 6.5 Multiple	Release/Leaks/Spills - General
3245	Sacramento County Code Multiple	Tiered Permitting - Release/Leaks/Spills - General Local Ordinance
3250	22 CCR Multiple Multiple; HSC 6.5 Multiple	Abandonment/Illegal Disposal/Unauthorized Treatment - General
3255	Sacramento County Code Multiple	Tiered Permitting - Abandonment/Illegal Disposal/Unauthorized Treatment - General Local Ordinance
<b>HHWCF</b>		
3310	22 CCR Multiple Multiple; HSC 6.5 Multiple	Household Hazardous Waste - Administration/Documentation - General
3315	Sacramento County Code Multiple	Household Hazardous Waste - Administration/Documentation - General Local Ordinance
3320	22 CCR Multiple Multiple; HSC 6.5 Multiple	Household Hazardous Waste - Training - General
3325	Sacramento County Code Multiple	Household Hazardous Waste - Training - General Local Ordinance
3330	22 CCR Multiple Multiple; HSC 6.5 Multiple	Household Hazardous Waste - Operations/Maintenance - General
3335	Sacramento County Code Multiple	Household Hazardous Waste - Operations/Maintenance - General Local Ordinance
3340	22 CCR Multiple Multiple; HSC 6.5 Multiple	Household Hazardous Waste - Release/Leaks/Spills - General
3345	Sacramento County Code Multiple	Household Hazardous Waste - Release/Leaks/Spills - General Local Ordinance
3350	22 CCR Multiple Multiple; HSC 6.5 Multiple	Household Hazardous Waste - Abandonment/Illegal Disposal/Unauthorized Treatment - General
3355	Sacramento County Code Multiple	Household Hazardous Waste - Abandonment/Illegal Disposal/Unauthorized Treatment - General Local Ordinance