

Hazardous Waste Generator Checklist Summary of Violations

**HAZARDOUS
WASTE**



This is an inspection element checklist of common types of violations of Federal, State, or local laws and regulations. For specific details about your inspection, refer to your Official Inspection Report.

SCC = Sacramento County Code / CCR = California Code of Regulations
 HSC = California Health and Safety Code / CFR = Code of Federal Regulations

Checklist items apply to all facilities unless designated as Very Small Quantity Generators only (VSQG), Small Quantity Generators only (SQG), or Large Quantity Generators only (LQG).

VIOLATION CODE	AUTHORITY	DESCRIPTION
Recordkeeping / Documentation		
Authorization		
3010002	22 CCR 12 66262.18(a)	Failure to obtain an Identification Number prior to treating, storing, disposing of, transporting or offering for transportation any hazardous waste.
3015	SCC 6.98.030	Generator has an active hazardous waste generator permit.
3010036	27 CCR 1 15188(b), 15188(c); HSC 6.11 25404(e)(4)	Failure to report, and report accurately, program data (such as hazardous waste generation activities) electronically.
3010035	HSC 6.5 25110.10(d)	Failure to annually submit notification of generator's intent to remotely consolidate hazardous waste.
Contingency Plan		
3040	22 CCR 66265.56(j)	Within 15 days after the incident, the owner or operator shall submit a contingency plan activation report to DTSC. (LQG)
3030040	22 CCR 12 66262.17(a)(6); 66262.260, 66262.265	Failure to prepare and implement a written Contingency Plan to minimize hazards to human health or the environment from fires, explosions, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil, or surface water. (LQG)
3010024	22 CCR 12 66262.17(a)(6); 66262.262	Failure to maintain a copy of the contingency plan and all its revisions at the facility and to submit a copy to all local police departments, fire departments, hospitals, and State and local emergency response teams that may be called upon to provide emergency services. (LQG)
3010023	22 CCR 12 66262.17(a)(6); 66262.261, 66262.263	Failure to include all of the following in the Contingency Plan: description of actions personnel will take in response to a release or emergency, arrangements with local authorities, list of emergency coordinators including name, addresses & phone numbers, list of emergency equipment and their physical description, location and capabilities, evacuation plan, and OES phone number. (If the owner or operator has already prepared a Spill Prevention, Control, and Countermeasures (SPCC) Plan or some other emergency or contingency plan, the owner or operator need only amend that plan to incorporate hazardous waste management provisions.) (LQG)
Training		
3020001	22 CCR 12 66262.16(b)(7)(C)	Failure to ensure that all employees are thoroughly familiar with proper waste handling and emergency procedures, relevant to their responsibilities during normal facility operations and emergencies. (SQG)
3020002	22 CCR 12 66262.17(a)(7); 22 CCR 15 66265.16	Failure to provide employees with hazardous waste training program of classroom instructions or on-the-job training within 180 days after the date of their employment or assignment to a facility, or to a new position at a facility and annually thereafter. Training records on current personnel shall be kept until closure of the facility and for former employees the record shall be kept for at least three years from the date the employee last worked at the facility. The records shall include the following: the job title for each position at the facility related to hazardous waste management, and the name of the employee filling each job; a written job description for each position, duties of facility personnel assigned to each position, and a written description of the type and amount of both introductory and continuing training that will be given to each person filling a position. (LQG)
Manifests		
3010008	22 CCR 12 66262.23(a)	Failure to properly complete the Uniform Hazardous Waste Manifest.
3010010	22 CCR 12 66262.40(a)	Failure to keep a copy of each properly signed manifest for at least three years from the date the waste was accepted by the initial transporter. The manifest signed at the time the waste was accepted for transport shall be kept until receiving a signed copy from the designated facility which received the waste.

3010007	22 CCR 12 66262.20	Failure to prepare a Uniform Hazardous Waste Manifest and, if necessary, a Continuation Sheet, before the transport of a hazardous waste off-site for transfer, treatment, storage, or disposal.
3010011	22 CCR 12 66262.23(a)(4); HSC 6.5 25160(b)(1)(C)	Failure to send a legible copy of each hazardous waste manifest that originated in paper form to the Department within 30 days of each shipment of hazardous waste.
3010030	22 CCR 12 66262.42 (a), (c) (d); HSC 6.5 25123.3(h)(2)	Failure to determine the status of any hazardous waste if a signed copy of the manifest isn't received within 35 days of the date the waste was accepted by the initial transporter and/or to submit an Exception Report to DTSC if a signed copy of the manifest isn't received within 60 days of the date the waste was accepted by the initial transporter. (SQG)
3010009	22 CCR 12 66262.42 (a), (b), (d); HSC 6.5 25160(b)(3)	Failure to determine the status of any hazardous waste if a signed copy of the manifest isn't received within 35 days of the date the waste was accepted by the initial transporter and/or to submit an Exception Report to DTSC if a signed copy of the manifest isn't received within 45 days of the date the waste was accepted by the initial transporter. (LQG)
3010013	HSC 6.5 25160.2	Failure of a generator of hazardous waste that meets the conditions to be transported on a consolidated manifest to comply with one or more of the required consolidated manifesting procedures and retain copies of receipts for three years.
Waste Determination		
3030005	22 CCR 12 66262.11	Failure to determine if wastes generated are hazardous waste by using generator knowledge or applying testing method.
3030053	22 CCR 12 66262.40(c)	Failure to keep records of any test results, waste analyses, or other determinations made in accordance with section 66262.11 for at least three years from the date that the waste was last sent to on-site or off-site treatment, storage, or disposal.
3030006	22 CCR 66262.16(b)(5), 66262.17(a)(9); 22 CCR 18 66268.7(a)	Failure to determine if the waste has to be treated before it can be land disposed and retain the documentation at least three years from the date that the waste was last sent to on-site or off-site treatment, storage, or disposal.
3030054	HSC 6.5 25144.6 (b)	Failure to properly manage reusable soiled textile materials prior to being sent for laundering.
3030059	HSC 6.5 25200.3.1(b)	Failure of the owner or operator managing laboratory hazardous waste in a laboratory accumulation area to comply with the quantity limitations, management, training, or documentation requirements.
3030060	HSC 6.5 25200.3.1(c)	Failure to treat laboratory hazardous waste according to all of the following requirements: 1) treated in containers and follow published procedures, 2) at a location as close as practical, and within 10 days, 3) single batch quantity limit to be 5 gallons or 18 kg, 4) waste from a single procedure or from the same laboratory process, 5) performed by trained personnel, 6) training records are maintained for 3 years, 7) waste and residuals are managed according to applicable requirements, and 8) records are made available for inspection.
Reporting		
3010018	22 CCR 31 67100.7, 67100.8; HSC 6.5 25244.19	Failure of a generator to conduct, when required, a source reduction evaluation review and plan every four years, and/or failure of the review and plan to contain all required information. (LQG)
3010019	22 CCR 31 67100.9	Failure of a large quantity generator to prepare a summary progress report every four years, using DTSC's Form # 1262 (3/99) titled "Summary Progress Report". (LQG)
3010021	22 CCR 31 67100.5; HSC 6.5 25244.19, 25244.21	Failure to adequately complete, and maintain for review, all requirements of the source reduction evaluation review and plan (SB-14). (LQG)
Hazardous Waste Management		
Accumulation time limits		
3030009	22 CCR 12 66262.16(b)(1), 66262.16(b)(8), 66262.16(c), 66262.16(g)(1); HSC 6.5 25123.3(c), 25123.3(h)(1)	Failure to send hazardous waste offsite for treatment, storage, or disposal within 180 days (or 270 days if waste is transported over 200 miles) of when the accumulation period begins*, for a generator who generates less than 100 kilogram per month if all of the following conditions are met: (1) The quantity of hazardous waste accumulated onsite never exceeds 6,000 kilograms. (2) The generator complies with the requirements of 40 Code of Federal Regulations section 262.34(d), (e) and (f). (3) The generator does not hold acutely hazardous waste or extremely hazardous waste in an amount greater than one kilogram for more than 90 days. *The accumulation period begins when the generator has accumulated 100 kilograms of hazardous waste or one kilogram of extremely hazardous waste or acutely hazardous waste. (VSQG)
3030010	22 CCR 12 66262.16(b)(1), 66262.16(b)(8), 66262.16(c), 66262.16(g)(2); HSC 6.5 25123.3(h)(1)	Failure to send hazardous waste offsite for treatment, storage, or disposal within 180 days (or 270 days if waste is transported over 200 miles) for a generator who generates less than 1000 kilograms per month if all of the following conditions are met: (1) The quantity of hazardous waste accumulated onsite never exceeds 6,000 kilograms. (2) The generator complies with the requirements of 40 Code of Federal Regulations section 262.34(d), (e) and (f). (3) The generator does not hold acutely hazardous waste or extremely hazardous waste in an amount greater than one kilogram for more than 90 days. (SQG)

3030012	22 CCR 12 66262.17(a), 66262.17(e); HSC 6.5 25123.3(b)(1)	Failure to send hazardous waste offsite for treatment, storage, or disposal within 90 days for a generator who generates 1000 kilograms or more per month. (LQG)
3030057	22 CCR 12 66262.15(a)	Failure to meet the following conditions of satellite accumulation regulations: <ul style="list-style-type: none"> • Accumulate up to 55 gallons of hazardous waste or one quart of acute hazardous waste at or near the initial point of accumulation which was under the control of the operator. • Total time hazardous waste can be accumulated onsite in any generator accumulation area is either one year from the initial date of accumulation, or 90/180/270 days (depending on size of generator and distance transported) from the date on which the quantity limitation of 55 gallons of hazardous waste or one quart of acutely hazardous waste is reached, whichever comes first. • The generator complies with section 66265.171, 66265.172, and 66265.173(a). • The container must be clearly marked with the initial date that hazardous waste is first placed in the container and labelled with the words "Hazardous Waste" including specified information. • Container must be labelled with the date the satellite accumulation limit is reached and moved within three days after reaching the 55 gallon (or one quart) limit to a central accumulation area. • 55 gallons (or one quart of acute hazardous waste) of waste per process may be accumulated.
Certified Appliance Recycler		
3010033	HSC 6.5 25211.4	Failure to obtain Certified Appliance Recycler certification (CAR) from DTSC.
3030055	HSC 6.5 25212	Failure of certified appliance recycler (CAR) to properly remove and dispose of all materials that require special handling (MRSH).
3010034	HSC 6.5 25211.2, 25211.3	Failure of certified appliance recycler (CAR) to provide documentation that all materials that require special handling (MRSH) have been removed.
Container Management		
3030007	22 CCR 12 66262.16(b)(4)(F), 66262.17(a)(5)(A)	Failure to properly label hazardous waste accumulation containers and portable tanks with the following requirements: "Hazardous Waste", name and address of the generator, physical and chemical characteristics of the Hazardous Waste, and starting accumulation date.
3030013	22 CCR 12 66262.16(b)(2)(A), 66262.15(a)(1)	Failure to accumulate hazardous waste in a container that is in good condition. (SQG)
3030014	22 CCR 12 66262.17(a)(1)(B), 66262.15(a)(1)	Failure to accumulate hazardous waste in a container that is in good condition. (LQG)
3030015	22 CCR 12 66262.16(b)(2)(B), 66262.15(a)(2)	Failure to accumulate or store hazardous waste in container made of or lined with materials which will not react with, and are otherwise compatible with, the hazardous waste to be stored. (SQG)
3030016	22 CCR 12 66262.17(a)(1)(C), 66262.15(a)(2)	Failure to accumulate or store hazardous waste in container made of or lined with materials which will not react with, and are otherwise compatible with, the hazardous waste to be stored. (LQG)
3030017	22 CCR 12 66262.16(b)(2)(C), 66262.15(a)(4)	Failure to meet the following container management requirements: <ul style="list-style-type: none"> (a) A container holding hazardous waste must always be closed during storage, except when it is necessary to add or remove waste. (b) A container holding hazardous waste must not be opened, handled, or stored in a manner which may rupture the container or cause it to leak. (SQG)
3030018	22 CCR 12 66262.17(a)(1)(D), 66262.15(a)(4)	Failure to meet the following container management requirements: <ul style="list-style-type: none"> (a) A container holding hazardous waste must always be closed during storage, except when it is necessary to add or remove waste. (b) A container holding hazardous waste must not be opened, handled, or stored in a manner which may rupture the container or cause it to leak. (LQG)
3030019	22 CCR 12 66262.16(b)(2)(D)	Failure to inspect hazardous waste storage areas at least weekly and look for leaking and deteriorating containers. (SQG)
3030020	22 CCR 12 66262.17(a)(1)(E)	Failure to inspect weekly, areas where hazardous waste containers are stored or transferred. The owner or operator shall look for leaking containers and for deterioration of containers and the containment system caused by corrosion or other factors. (LQG)
3030021	22 CCR 12 66262.17(a)(1)(F)	Failure to locate reactive and ignitable waste at least 15 meters (50 feet) from the facility's property line. (LQG)
3030022	22 CCR 12 66262.16(b)(2)(E), 66262.15(a)(3); 22 CCR 15 66265.17(b)	Failure to separate incompatible wastes from the same container, nearby containers, or unwashed containers which previously contained incompatible waste or materials. (SQG)
3030023	22 CCR 12 66262.17(a)(1)(G), 66262.15(a)(3); 22 CCR 15 66265.17(b)	Failure to separate incompatible wastes from the same container, nearby containers, or unwashed containers which previously contained incompatible waste or materials. (LQG)

3030058	22 CCR 11 66261.7	Failure to manage empty containers greater than 5 gallons in capacity that previously held a hazardous material/waste including but not limited to the following: (1) By reclaiming its scrap value onsite or shipping the container or inner liner to a person who reclaims its scrap value; or (2) By reconditioning or re manufacturing the container or inner liner onsite for subsequent reuse, or shipping the container or inner liner to a person who reconditions or re-manufactures the container or inner liner; or (3) By shipping the container or inner liner to a supplier or to another intermediate collection location for accumulation prior to managing the container or inner liner; or (4) By shipping the container or inner liner back to the supplier for the purpose of being refilled. (5) A container or an inner liner removed from a container shall be marked with the date it has been emptied and shall be managed within one year of being emptied.
3030061	22 CCR 12 66262.17(a)(1)(A)	Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. (LQG)
Tank Management		
3030056	22 CCR 12 66262.16(b)(4)(B), 66262.17(a)(5)(B)	Failure to label stationary hazardous waste tanks as "hazardous waste" and mark with an accumulation start date.
3030025	22 CCR 12 66262.16(b)(3)(A)4	Failure to equip a continuously fed hazardous waste tank with a means to stop the inflow (e.g., waste feed cutoff system or by-pass system to a stand-by tank). (SQG)
3030026	22 CCR 12 66262.17(a)(2); 22 CCR 15 66265.194	Failure to comply with general tank operating requirements: (a) Hazardous wastes or treatment reagents shall not be placed in a tank system if they could cause the tank, its ancillary equipment, or the secondary containment system to rupture, leak, corrode, or otherwise fail. (b) The owner or operator shall use appropriate controls and practices to prevent spills and overflows from tank or secondary containment systems. These include at a minimum: (1) spill prevention controls (e.g., check valves, dry discount couplings); (2) overflow prevention controls (e.g., level sensing devices, high level alarms, automatic feed cutoff, or bypass to a standby tank); and (3) maintenance of sufficient freeboard in uncovered tanks to prevent overtopping by wave or wind action or by precipitation. Uncovered tanks shall be operated to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a containment structure (e.g., standby tank with a capacity that equals or exceeds the volume of the top 60 centimeters (2 feet) of the tank. (LQG)
3030027	22 CCR 12 66262.16(b)(3)(B)1-3	Failure to inspect hazardous waste tanks at least once each operating day for the following, when present: 1. Discharge control equipment (e.g., waste feed cutoff systems, by-pass systems, and drainage systems) to ensure that it is in good working order; 2. Data gathered from monitoring equipment (e.g., pressure and temperature gauges) to ensure that the tank is being operated according to its design; 3. The level of waste in the tank. (SQG)
3030028	22 CCR 12 66262.16(b)(3)(B)4-5, 66262.16(b)(3)(C)	Failure to inspect hazardous waste tanks for the following, when present: 1) The construction materials of the tank at least weekly to detect corrosion or leaking of fixtures or seams. 2) The construction materials of, and the area immediately surrounding, discharge confinement structures (e.g., dikes) at least weekly to detect erosion or obvious signs of leakage (e.g., wet spots or dead vegetation). (SQG)
3030029	22 CCR 12 66262.17(a)(2); 22 CCR 15 66265.195(a), 66265.195(c)	Failure to conduct and document inspections of hazardous waste tank systems each operating day and retain records of those inspections at the facility. (LQG)
3050007	22 CCR 12 66262.16(b)(3)(D)	Failure to remove all hazardous waste from tanks, discharge control equipment, and discharge confinement structures upon closure of the facility. (SQG)
3030024	22 CCR 12 66262.16(b)(3)(A)3	Failure to operate uncovered tanks to ensure at least 60 centimeters (2 feet) of freeboard, unless the tank is equipped with a containment structure (e.g., dike or trench), a drainage control system, or a diversion structure (e.g., standby tank) with a capacity that equals or exceeds the volume of the top 60 centimeters (2 feet) of the tank. (SQG)
3010025	22 CCR 12 66262.17(a)(6); 22 CCR 15 66265.192(a)	Failure to obtain and maintain a written assessment reviewed and certified by an independent, qualified, professional engineer prior to placing the tank system in service. The written assessment shall state that, the new hazardous waste tank system has sufficient structural integrity, is acceptable for the transferring, storing and treating of hazardous waste, and that the tanks and containment system including the foundation, structural support, seams, connections, and pressure controls (if applicable) are suitably designed to meet the regulation. (LQG)
3010026	22 CCR 12 66262.17(a)(6); 22 CCR 15 66265.192(k)	Failure to include all required information in the tank system assessment. (LQG)
3010027	22 CCR 12 66262.17(a)(6); 22 CCR 15 66265.192(m)	Failure to obtain CUPA approval prior to the replacement of identical or functionally equivalent tank system parts or components. (LQG)
3030041	22 CCR 12 66262.17(a)(2); 22 CCR 15 66265.193	Failure to meet required secondary containment requirements for hazardous waste tank systems or components. (LQG)

3050006	22 CCR 12 66262.17(a)(8)(A), 66262.17(a)(8)(C)	Failure of owner or operator to properly close a hazardous waste tank system ensuring the minimization of further maintenance and that the required requirements have been met. (LQG)
3040001	22 CCR 12 66262.17(a)(2); 22 CCR 15 66265.196	Failure to immediately remove from service a tank system or secondary containment system from which there has been a leak, spill, is unfit for use and comply with applicable requirements. (LQG)
3030042	22 CCR 12 66262.17(a)(2); 22 CCR 15 66265.195(b), 66265.195(c)	Failure to inspect cathodic protection systems, if present, according to the following schedule to ensure that they are functioning properly: 1) The proper operation of the cathodic protection system shall be confirmed within six months after initial installation, and annually thereafter; and 2) All sources of impressed current shall be inspected and/or tested, as appropriate, at least bimonthly (i.e., every other month). 3) The owner or operator shall document in the operating record of the facility an inspection of the above items. (LQG)
3010032	22 CCR 12 66262.17(a)(6); 22 CCR 15 66265.192(h)	Failure of generator to obtain assessment or reassessment every five (5) years or the remaining service life of the tank system, as stated in the engineer's assessment, whichever is less. This assessment applies to onground or aboveground tank systems containing only non-RCRA hazardous wastes generated onsite, or for a small quantity generator onground or aboveground tank systems containing RCRA hazardous wastes generated onsite. (LQG)
3030062	22 CCR 12 66262.17(a)(2)	Failure to comply with air emission requirements as specified in articles 27, 28, and 28.5 of chapter 15. (LQG)
Recyclable Materials		
3010016	HSC 6.5 25143.10	Failure of any person who recycles more than 100 kilograms per month of recyclable material under a claim that the material qualifies for exclusion or exemption, to submit recyclable materials report every two years.
3050005	HSC 6.5 25143.2, 25143.9	Failure to properly manage a recyclable material.
Used Oil		
3050004	HSC 6.5 25250.7(a)	Failure to prevent intentional contamination of used oil with other hazardous waste other than minimal amounts of vehicle fuel.
3010015	HSC 6.5 25250.19(c); 25250.18(a); 25250.1(c),	Failure to record in an operating log and retain for three years the following information for each shipment of recycled or exempted oil: 1) The name and address of the used oil recycling facility or generator claiming the oil meets the requirements of HSC 6.5 25250.1. 2) The name and address of the facility receiving the shipment. 3) The quantity of oil delivered. 4) The date of shipment or delivery. 5) A cross-reference to the records and documentation required under HSC 6.5 25250.1.
Used Oil and Fuel Filters		
3030004	22 CCR 16 66266.130; HSC 6.5 25250.22	Failure to properly manage used oil filters and/or fuel filters in accordance with the requirements.
Lead Acid Batteries		
3010014	22 CCR 16 66266.81(a)(4)(B)	Failure to retain for at least three years a legible copy of each manifest or bill of lading which identifies spent lead-acid storage batteries shipped to a person who stores the batteries or who uses, reuses, recycles or reclaims the batteries or their components.
3030002	22 CCR 16 66266.81(a)(3)	Failure of facilities that accept spent lead acid batteries in exchange or partial exchange for operable lead-acid storage batteries to comply with the following storage requirements: 1) Storing more than one ton of spent batteries at any one location for more than 180 days. 2) Storing one ton or less of spent batteries at any one location for more than one year, or 3) Removing the electrolyte.
3030001	22 CCR 16 66266.81(a)(1)	Failure to meet the spent lead acid battery management requirements, when handling, storing, or transporting more than 10 lead acid batteries at any one time.
3030003	22 CCR 16 66266.81(b)	Failure to properly manage, store, and label a damaged lead acid battery in a nonreactive, structurally secure, closed container, and/or failure to label damaged lead acid battery with the date that the first battery in the container was placed there with ink, paint or other weather-resistant material so as to minimize the release of acid and lead and to protect the environment.
Disposal		
3040002	HSC 6.5 25187.6	Failure to obtain permission from an authorized agent or court to remove, transfer, or dispose of a hazardous waste that is under quarantine.
3050001	HSC 6.5 25163(a)	Failure to use a DTSC registered hazardous waste transporter to transport hazardous waste.
3050002	HSC 6.5 25189.5(a)	Failure to dispose of hazardous waste at a facility which has a permit from DTSC or disposing of hazardous waste at any point which is not authorized.

3050008	HSC 6.5 25201(a)	Failure to obtain a hazardous waste facilities permit, or other grant of authorization, prior to accepting, treating, storing, or disposing of a hazardous waste at the facility, area, or site.
General Facility Operations		
Site Safety		
3010022	22 CCR 12 66262.16(b)(7)(B)	Failure to post the following information next to telephones or in areas directly involved in the generation and accumulation of hazardous waste: 1. The name and emergency telephone number of the emergency coordinator; 2. Location of fire extinguishers and spill control material, and, if present, fire alarm; and 3. The telephone number of the fire department, unless the facility has a direct alarm. (SQG)
3030039	22 CCR 12 66262.16(b)(7)(A)	Failure to have at least one employee either on the premises or on call (i.e., available to respond to an emergency by reaching the facility within a short period of time) with the responsibility for coordinating all emergency response measures. (Emergency Coordinator) (SQG)
3030063	22 CCR 12 66262.17(a)(6), 66262.264	Failure to have at least one employee either on the facility premises or on call (i.e., available to respond to an emergency by reaching the facility within a short period of time) with the responsibility for coordinating all emergency response measures. (Emergency Coordinator) (LQG)
3030034	22 CCR 12 66262.16(b)(6)(C)	Failure to test and maintain as necessary all facility communications or alarm systems, fire protection equipment, spill control equipment, and decontamination equipment to assure its proper operation in time of emergency. (SQG)
3030035	22 CCR 12 66262.17; 22 CCR 15 66265.33	Failure to test and maintain as necessary all facility communications or alarm systems, fire protection equipment, spill control equipment, and decontamination equipment to assure its proper operation in time of emergency. (LQG)
3030030	22 CCR 12 66262.16(b)(6)(A)	Failure to maintain and operate the facility to minimize the possibility of a fire, explosion, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil, or surface water which could threaten human health or the environment. (SQG)
3030031	22 CCR 12 66262.17(a)(6), 66262.251	Failure to maintain and operate the facility to minimize the possibility of a fire, explosion, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil, or surface water which could threaten human health or the environment. (LQG)
3030032	22 CCR 12 66262.16(b)(6)(B)	Failure of the facility to maintain the following emergency equipment or equivalents: 1) An internal communications or alarm system; 2) A device, such as a telephone (immediately available at the scene of Operations/ Maintenance) or a hand-held two-way radio, capable of summoning emergency assistance from local police departments, fire departments, or State or local emergency response teams; 3) Portable fire extinguishers, fire control equipment, spill control equipment, and decontamination equipment; and 4) Water at adequate volume and pressure to supply water hose streams, or foam producing equipment, or automatic sprinklers, or water spray systems. (SQG)
3030033	22 CCR 12 66262.17(a)(6), 66262.252	Failure of the facility to maintain the following emergency equipment or equivalents: 1) An internal communications or alarm system; 2) A device, such as a telephone (immediately available at the scene of Operations/ Maintenance) or a hand-held two-way radio, capable of summoning emergency assistance from local police departments, fire departments, or State or local emergency response teams; 3) Portable fire extinguishers, fire control equipment, spill control equipment, and decontamination equipment; and 4) Water at adequate volume and pressure to supply water hose streams, or foam producing equipment, or automatic sprinklers, or water spray systems. (LQG)
3030036	22 CCR 12 66262.16(b)(6)(E)	Failure to maintain aisle space to allow the unobstructed movement of personnel, fire protection equipment, spill control equipment, and decontamination equipment to any area of facility operation in an emergency, unless aisle space is not needed for any of these purposes. (SQG)
3030037	22 CCR 12 66262.17(a)(6), 66262.255	Failure to maintain aisle space to allow the unobstructed movement of personnel, fire protection equipment, spill control equipment, and decontamination equipment to any area of facility operation in an emergency, unless it can be demonstrated to the Department that aisle space is not needed for any of these purposes. (LQG)
3030	22 CCR 15 66265.17(a)	Takes precautions to prevent accidental ignition or reaction of a hazardous waste (LQG)
3030	22 CCR 15 66265.14	Entry into active portions of the facility controlled and required signage posted (LQG)
Universal Waste		
>5000 Kg		
3010003	22 CCR 23 66273.32(a)	Failure of a universal waste handler to send written notification of universal waste management to the USEPA Regional Administrator and obtain a federal ID Number prior to accumulating 5,000 kilograms or more of RCRA universal waste.
3010004	22 CCR 23 66273.32(b)	Failure of a universal waste handler to obtain an ID Number from DTSC prior to storing 5,000 kilograms or more of universal waste when a federal ID number is not required.

DTSC notification for Electronic Devices, CRTs and CRT glass		
3010005	22 CCR 23 66273.32(c), 66273.32(e)	Failure of a universal waste handler of PV modules, electronic devices, CRTs, and/or CRT glass who might accept and accumulate, but not treat, any PV module, electronic device, CRT, and/or CRT glass from an offsite source to submit notification with all required information to DTSC no later than 30 calendar days prior to accepting any above noted waste.
3010020	22 CCR 23 66273.32(d)(1), 66273.32(d)(2), 66273.32(f)(1), 66273.32(f)(2)	Failure of a universal waste handler who either: 1) accepts more than 100 kilograms (or 220 pounds) of PV modules or electronic devices, CRTs, and CRT glass calculated collectively, from any offsite sources in a calendar year, or 2) generates 5,000 kilograms (or 11,000 pounds; e.g., about 200 CRTs) or more of PV modules or electronic devices, CRTs, and CRT glass calculated collectively, in a calendar year, to submit to DTSC by February 1 of every year an annual report that includes all required information.
Training		
3020003	22 CCR 23 66273.36	Failure of universal waste handler to provide initial and/or annual refresher training for employees who manage or handle universal waste and to maintain a written record for three years of personnel who took the initial or annual training.
UW Management		
3030008	22 CCR 23 66273.34	Failure to label or mark each individual or container or the designated area of universal waste as required. 1) Waste batteries shall be marked with "Universal Waste-Battery(ies)". 2) Mercury containing equipment shall be marked with "Universal Waste -Mercury-Containing Equipment". 3) Lamps shall be marked with "Universal Waste-Lamp(s)". 4) Each electronic devices or the container or the designated area shall be marked with "Universal Waste-Electronic Device(s)". 5) Each CRTs or the container or the designated area shall be marked with "Universal Waste-CRT(s)". 6) CRT glass or the designated area shall be marked with "Universal Waste-CRT glass". 7) PV modules shall be marked with "Universal Waste-PV Module(s)"
3030050	HSC 6.5 25201.16(e)	Failure of the universal waste handler to manage universal waste aerosol cans in a manner that prevents fire, explosion, and the unauthorized release of any universal waste or component of a universal waste to the environment.
3030051	HSC 6.5 25201.16(f)	Failure to comply with the applicable requirements related to accumulation and containment standards for universal waste aerosol cans.
3010037	HSC 6.5 25201.16(j)	Failure to notify the CUPA of aerosol can processing procedures prior to commencement of operations
3030011	22 CCR 23 66273.35	Failure to accumulate universal waste for one year or less and to demonstrate the length of time that the universal waste has been accumulated from the date it became a waste or was received.
3030	22 CCR 23 66273.2(a), 66273.33(a)	UWH properly manages batteries destined for reclamation or recycling
3040004	22 CCR 23 66273.33, 66273.33.5, 66273.33.6, 66273.37	Failure of the universal waste handler of PV modules, electronic devices, CRTs, and CRT glass to prevent the release of the universal waste to the environment under reasonable conditions by the following methods: 1) Immediately clean spills and leaks of universal wastes; and 2) Place all universal waste spills and leaks in containers that are structurally sound and compatible with the universal waste.
Shipments and Tracking		
3030046	22 CCR 23 66273.38; 49 CFR 1 172	Failure of the universal waste handler to transfer universal waste to another universal waste handler, or appropriate destination facility. Failure to package, label, mark and placard shipments and prepare shipping papers for any universal waste that meets the hazardous materials definition in accordance with DOT 49 CFR parts 171-180.
3030	22 CCR 23 66273.39	Failure of the universal waste handler to keep a record (log, invoice, manifest, bill of lading, or other shipping document) of each shipment of universal waste received and shipped. Records to contain name and address of UW sender or receiver, quantity of each type of UW and the date of shipment. Failure to retain those records for a least 3 years.
3050003	22 CCR 23 66273.31(a); 66273.8(b)	Failure of the universal waste handler to prevent improper disposal of universal waste, or to transfer universal waste to the appropriate destination facility.
Exports		
3010006	CCR 23 25(a)(2), 66273.40(a)(3), 66273.40(a)(4)	Failure of the universal waste handler who sends electronic devices, CRTs, and/or CRT glass to any foreign destination to comply with the following: (1) Notify the Department 60 days prior to the intended export before any electronic devices, CRTs, and/or CRT glass are scheduled to leave the United States and cover all export activities extending over the next twelve (12) month or lesser period; (2) Concurrently send a copy of the notification required pursuant to subsection 66273.40(a)(2)(B) of 22 CCR to the CUPA having jurisdiction over the universal waste handler's facility; (3) sign the export notification; and (4) include all required information in the export notification.

General		
3010	22 CCR Multiple Multiple; HSC 6.5 Multiple	Hazardous Waste Generator Program - Administration/Documentation - General
3015	Sac County Multiple	Hazardous Waste Generator Program - Administration/Documentation - General Local Ordinance
3020	22 CCR Multiple Multiple; HSC 6.5 Multiple	Hazardous Waste Generator Program - Training - General
3025	Sac County Multiple	Hazardous Waste Generator Program - Training - General Local Ordinance
3030	22 CCR Multiple Multiple; HSC 6.5 Multiple	Hazardous Waste Generator Program - Operations/Maintenance - General
3035	Sac County Multiple	Hazardous Waste Generator Program - Operations/Maintenance - General Local Ordinance
3040	22 CCR Multiple Multiple; HSC 6.5 Multiple	Hazardous Waste Generator Program - Release/Leaks/Spills - General
3045	Sac County Multiple	Hazardous Waste Generator Program - Release/Leaks/Spills - General Local Ordinance
3050	22 CCR Multiple Multiple; HSC 6.5 Multiple	Hazardous Waste Generator Program - Abandonment/Illegal Disposal/Unauthorized Treatment - General
3055	Sac County Multiple	Hazardous Waste Generator Program - Abandonment/Illegal Disposal/Unauthorized Treatment - General Local Ordinance

07/02/2024 RVF W:\Data\FORMSARCHIVE\CUPA Current - HS GovTech Checklist\Word Doc Checklist\HW CHECKLIST SUMMARY OF VIOLATIONS 07.02.2024.docx

RETURN TO COMPLIANCE STATEMENT

This *Return To Compliance Statement* must be returned to EMD within 19 days of your facility's inspection for Priority Corrective Action violations and within 35 days for all other violations (unless otherwise noted on the Official Inspection Report). Also include copies of any proof of compliance documents (e.g. photos, copies of manifests/disposal records or receipts, or other original paperwork).

Compliance Certification

1. I certify that the violations noted on the Official Inspection Report (and accompanying inspection checklists) have been corrected in the manner indicated below.
2. I have personally examined any attached documentation submitted as proof of compliance and I believe the information to be true, accurate and complete.
3. I am aware that there are significant penalties for submitting false information and/or for non-compliance with violations noted.
4. I declare under penalty of perjury that the foregoing certification is true and correct.

Executed at: _____, California Date: _____

Facility Name: _____ Facility ID# (FA): _____

Signature: _____ Printed Name: _____

Position/Title: _____

SUMMARY OF VIOLATION COMPLIANCE ACTION

Violation Code	Check Type of Evidence Submitted			Violation Code	Check Type of Evidence Submitted			Violation Code	Check Type of Evidence Submitted		
	Photo	Paperwork	Statement		Photo	Paperwork	Statement		Photo	Paperwork	Statement
3010002	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030007	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3050004	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3015	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030013	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010015	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010036	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030014	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030004	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010035	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030015	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010014	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3040	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030016	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030002	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3030040	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030017	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030001	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010024	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030018	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010023	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030019	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3040002	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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3010008	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030022	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3050008	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010010	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030023	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010022	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010007	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030058	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030039	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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3010019	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010027	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010021	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030041	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010004	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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3030057	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010032	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030008	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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3030055	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010016	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030051	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3010034	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3050005	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010037	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

RETURN TO COMPLIANCE STATEMENT

3030011	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010006	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3030	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3030	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3010	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3035	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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3030046	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3020	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3045	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3030	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3025	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3050	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Corrective Action Statements: